

Trusted Research Glossary of Terms and Acronyms

Consignee

First recipient of goods outside of the UK (not the courier) – typically, end-user and consignee are the same for Oxford collaborations

Consolidated Control List / Consolidated List / UK Strategic Export Control List Link

A single list that publishes all UK controlled items and with which we cross-check our own research description – goods featured on this list require an export licence before transfer/export of controlled goods

Control List Classification [CLC] / Control List Entry

The unique entry code in the consolidated list that describes the type of controlled good under review (e.g., 1C353.c.18)

Dual use

Products, goods, software, or technology that have NOT been specifically designed for a military use BUT which may have a military or WMD related application. Dual-use items fall under ten different categories found in the dual-use list

Dual use list

Ten categories of dual use goods that are controlled by UK law and that require export control licences before – dual use list is found in the larger Consolidated List

ECJU

Export Control Joint Unit – the governmental team that adjudicates end-use/end-user advice requests and export control licence applications via the SPIRE portal

End use and End user and End-use(r) advice

- -End use is the final intended use of the technology, information, or product
- -End user is the person/entity who will use the technology, information, or product
- -End-user advice is a request submitted via SPIRE portal request end-use/user advice pertaining to a specific overseas entity

End-user Undertaking Form and Covering Letter Link

Supplementary documentation (in addition to research descriptions, technical specifications of research and relevant research contracts or agreements) necessary for the completion of a SIEL application

End-user advice spreadsheet Link

Oxford developed spreadsheet that lists all current end-user advice for partnering institutions received by ECJU via the SPIRE portal

EU

European Union



Export

The sharing of tangible and intangible goods (i.e., physical goods, samples, kit, data, code, software, email correspondence, server access, phone/teleconference calls) and technical assistance to entities or people outside of the UK

HEECA [Higher Education Export Control Association] Link

Professional association that publishes trainings, guidance and resources for export control officers in academia

IAEA

International Atomic Energy Agency

In public domain

Technology or goods are available without restriction upon further dissemination (no account being taken of restrictions arising solely from copyright).

ITAR

International Traffic in Arms Regulations – export control regime relevant to United States exports

NATO

North Atlantic Treaty Organisation

OGEL

Open General Export Licence – require registration through SPIRE and are available for immediate use

OITAL

Open Individual Technical Assistance Licences

RCAT [Research Collaboration Advice Team]

Advisory group within Department for Science, Innovation and Technology that provide advice to research institutions on the national security risks linked to international research and specific collaborations

SIEL

Standard Individual Export Licence – require end-user undertaking form and covering letter, processing times 20-60 working days

SPIRE Link

The Export Control Organisation's online export licensing system

Technology

Information, including but not limited to information comprised in software and documents such as blueprints, manuals, diagrams, and designs, that is capable of use in connection with the development, production, or use of any goods.

Transfer

Transfer, for software or technology, means transfer by electronic or non-electronic means (or any combination of electronic and non-electronic means) from a person or place within the United Kingdom to a person or place outside the United Kingdom, except where there are WMD concerns,



in which case a transfer may also take place within the UK where the technology or technical assistance may be used outside of the UK.

Trusted Research

A research and innovation sector term for protecting the UK's intellectual property, sensitive research, people and infrastructure from potential theft, manipulation and exploitation, including as a result of interference by hostile actors

WMD

Weapons of Mass Destruction